



भारत का राजपत्र The Gazette of India

प्राधिकार से प्रकाशित
PUBLISHED BY AUTHORITY

सं०. 15]

नई दिल्ली, शनिवार, अप्रैल 10, 1999 (चैत्र 20, 1921)

No. 15]

NEW DELHI, SATURDAY, APRIL 10, 1999 (CHAITRA 20, 1921)

इस भाग में भिन्न पृष्ठ संख्या दी जाती है जिससे कि यह अलग संकलन के रूप में रखा जा सके।
(Separate paging is given to this Part in order that it may be filed as a separate compilation)

भाग IV

[PART IV]

गैर-सरकारी व्यक्तियों और गैर-सरकारी संस्थाओं के विज्ञापन और सूचनाएं

[Advertisements and Notices issued by Private Individuals and Private Bodies.]

नाम परिवर्तन

मैं, अब तक रामफेर के नाम से ज्ञात सुपुत्र श्री रामकरण, कार्यालय भारतीय जीवन बीमा निगम, प्रतापगढ़ (उ. प्र.) में सहायक पद पर कार्यरत, निवासी ग्राम ब. पो.—आसपुर बखेसरा, जिला—प्रतापगढ़ (उ. प्र.) ने अपना नाम बदल लिया है और इसकी पश्चात् मेरा नाम सुनील भारती होगा।

प्रमाणित किया जाता है कि मैंने इस बारे में अन्य कानूनी शर्तों को पूरा कर लिया है।

रामफेर

ह./- (वर्तमान पुराने नाम के अनुसार)

मैं, मोहन लाल बेलदार सुपुत्र श्री शंकर लाल बेलदार, उप स्वास्थ्य केंद्र, जिववहा प्राथमिक स्वास्थ्य केंद्र, देवेन्द्रनगर, जिला पन्ना में पुरुष स्वास्थ्य कर्ता के पद पर कार्यरत एवं बेनी-गंज मोहल्ला छतरपुर जिला छतरपुर का निवासी एतद्द्वारा सत्य निष्ठा पूर्वक पूर्ण एवं घोषणा करता हूं कि मैंने 10-3-1999 से मोहन लाल प्रजापति नाम धारण कर लिया है और मोहन लाल बेलदार त्याग दिया है।

प्रमाणित किया जाता है कि मैंने इस बारे में अन्य कानूनी शर्तों को पूरा कर लिया है।

मोहन लाल बेलदार

ह./- (वर्तमान पुराने नाम के अनुसार)

मैं, अब तक सियाराम के नाम से ज्ञात सुपुत्र स्व. श्री बृद्ध-राम, कार्यालय प्रधान डाकघर, धारागढी में डाक सहायक पद पर कार्यरत, निवासी ग्राम बलुआ, पो. बलुआ, जिला चन्दाली (उ. प्र.) ने अपना नाम बदल लिया है और इसकी पश्चात् मेरा नाम राम सिंह होगा।

प्रमाणित किया जाता है कि मैंने इस बारे में अन्य कानूनी शर्तों को पूरा कर लिया है।

सियाराम

ह./- (वर्तमान पुराने नाम के अनुसार)

NOTICE

NO LEGAL RESPONSIBILITY IS ACCEPTED FOR THE PUBLICATION OF ADVERTISEMENTS/PUBLIC NOTICES IN THIS PART OF THE GAZETTE OF INDIA. PERSONS NOTIFYING THE ADVERTISEMENTS/PUBLIC NOTICES WILL REMAIN SOLELY RESPONSIBLE FOR THE LEGAL CONSEQUENCES AND ALSO FOR ANY OTHER MISREPRESENTATION ETC.

BY ORDER
Controller of Publication

CHANGE OF NAMES

I, hitherto known as BIHARI LAL S/o Shri. BALANDA RAM, employed as Asstt. Accounts Officer in the Office of DPDO Hamirpur (HP), residing at Vill. & P.O. Jandpur Teh. Baijnath Distt. Kangra (H.P.), have changed my name and shall hereafter be known as BIHARI LAL CHAUDHARY.

It is certified that I have complied with other legal requirements in this connection.

BIHARI LAL
Signature (in existing old name)

I, hitherto known as BISHNU DHOBI S/o Late HIRALAL DHOBI, employed as Mail/Exp. Guard in the S. E. Rly., Adra DOM Office, residing at Adra, P.O. Adra, Distt. Purulia (W.B.), have changed my name and shall hereafter be known as BISHNU RAJAK.

It is certified that I have complied with other legal requirements in this connection.

BISHNU DHOBI
Signature (in existing old name)

I, hitherto known as REZAUL S/o Late CHAND ALI, employed as Furnace Man Gr.-II, T. No. A-70 in the 'A' shop at C&W workshop, E. Rly. Liluah, residing at Village Kestopur, P.O. Rajbati, P.S. Burdwan, Distt. Burdwan-713 104, West Bengal, have changed my name and shall hereafter be known as SYED REZAUL HOQUE.

It is certified that I have complied with other legal requirements in this connection.

REZAUL
Signature (in existing old name)

I, hitherto known as NEROD BARAN SARKAR S/o Sri CHANCHAL KUMAR SARKAR, employed as Postal Assistant in the Deptt. of Posts at Calcutta G.P.O., residing at Vill. Bhattacharyapara, P.O. & P.S. Barnipur, Distt. 24-Parganas (S), State W.B., have changed my name and shall hereafter be known as NIKHIL BARAN SARKAR.

It is certified that I have complied with other legal requirements in this connection.

NEROD BARAN SARKAR
Signature (in existing old name)

I, hitherto known as MANOJ KUMARI W/o DEEPAK GUPTA, residing at A-3/7, Sector 15, Rohini, Delhi-110 085, have changed my name and shall hereafter be known as ABHA GUPTA.

It is certified that I have complied with other legal requirements in this connection.

MANOJ KUMARI
Signature (in existing old name)

I, hitherto known as JAWAN SINGH S/o DEVA DANDUB, employed as Sepoy in the I.T.B. Police, residing at VIIth Bn. Campus, Rekong Peo, District Kinnaur H.P., have changed my name and shall hereafter be known as JEEVAN SINGH.

It is certified that I have complied with other legal requirements in this connection.

JAWAN SINGH
Signature (in existing old name)

I, hitherto known as DEVENDAR RAM S/o Sri PALTAN RAM, employed as Phone Mechanic in the Department of Telecommunications under SDE Group, Bagdogra, residing at Upper Bagdogra, P.O. BDR, P.S. Naxalbari, Distt. Darjiling, have changed my name and shall hereafter be known as DEVENDAR PASWAN.

It is certified that I have complied with other legal requirements in this connection.

DEVENDAR RAM
Signature (in existing old name)

I, hitherto known as SHYAMA GARG W/o Sh. PAWAN KUMAR GOYAL, residing at 105, Pocket D, Mayur Vihar, Phase-II, Delhi, have changed my name and shall hereafter be known as NAMRITA GOEL.

It is certified that I have complied with other legal requirements in this connection.

SHYAMA GARG
Signature (in existing old name)

I, hitherto known as Miss SHARMA MINI RADHEYAL W/o Mr. TUSHAR MADAN, employed as Teacher in the M.C.D. Primary School, Trilokpuri-28 (I), New Delhi, residing at A-306, Sector-19, Noida, Distt. Ghaziabad, U.P. Pin : 201 301, have changed my name and shall hereafter be known as Mrs. MINI MADAN.

It is certified that I have complied with other legal requirements in this connection.

Miss SHARMA MINI RADHEYAL
Signature (in existing old name)

I, hitherto known as JAGDISH PRASAD S/o Shri RAM-KISHUN PRASAD, employed as S.D.E. Telephones Full Control (Central) Ranchi Ph. 202343, 205585 Pp-834-001 in the Phonemechanic, residing at B.A.U. Gate Kanke Pin: 834 006, P.O. Kanke, Distt. Ranchi, have changed my name and shall hereafter be known as KUSHWAHA JAGDISH PRASAD SINGH.

It is certified that I have complied with other legal requirements in this connection.

JAGDISH PRASAD
Signature (in existing old name)

I, hitherto known as VIVEKANANDA K. S/o Sri K. M. KRISHNAYYA, employed as Typist in Life Insurance Corporation of India, Branch Office Karkala-574 104, Karnataka State, residing at Village Kanyady II, Post. Dharmasthala, D. K., Karnataka State, have changed my name and shall hereafter be known as K. VIVEK RAO.

It is certified that I have complied with other legal requirements in this connection.

VIVEKANANDA K.
Signature (in existing old name)

I, hitherto known as KANAI S/o PRANTOSH PAN, employed as Diesel Asstt. under Loco Foreman Santragachi, S.E. Rly, residing at Vill. Noapala, P.O. Noapala, Dist. Howrah, have changed my name and shall hereafter be known as KANAI LAL PAN.

It is certified that I have complied with other legal requirements in this connection.

KANAI
Signature (in existing old name)

I, hitherto known as RACHAPPA MADAR S/o Shri BHEEMAPPA, employed as Junior Engineer in the Zilla Pachayat Engineering Sub-Division, Chincholi Tq. Chincholi Dist. Gulbarga, State Karnataka, residing at Shaktinagar, H. No. 7, Gulbarga-85 103, have changed my name and shall hereafter be known as RACHAPPA KHEDAGI S/o BHEEMAPPA.

It is certified that I have complied with other legal requirements in this connection.

RACHAPPA MADAR
[Signature (in existing old name)]

I, hitherto known as DHIRAJ TOPPO S/o Shri RATIA TOPPO, employed as Sub Inspector in the Border Security Force, 128 Bn Ramtirtha, Distt. Amritsar (Pb.), residing at Village Kinbira, P.O. Tainsera, Distt. Gumla (Bihar), have changed my name and shall hereafter be known as DHIRAJ KULLU.

It is certified that I have complied with other legal requirements in this connection.

DHIRAJ TOPPO
[Signature (in existing old name)]

I, hitherto known as SURAJ BAHADUR S/o Late GOPAL BAHADUR, employed as SS Gr.-III in the Central Inland Capture Fisheries Research Institute, residing at CIFRI, Campus, Monirampore, Barrackpore-743 101 (W.B.), have changed my name and shall hereafter be known as SURYA BAHADUR PURI.

It is certified that I have complied with other legal requirements in this connection.

SURAJ BAHADUR
[Signature (in existing old name)]

I, hitherto known as HAMEED P. S/o ABUBAKAR, residing at Padyana House, Karopady Village & Post, Bantwal Taluk, Dakshina Kannada District, Karnataka State, have changed my name and shall hereafter be known as SHAHUL HAMEED P.

It is certified that I have complied with other legal requirements in this connection.

HAMEED P.
[Signature (in existing old name)]

I, hitherto known as MAHESH PRASAD CHANDRAUL S/o Sri LAXMI PRASAD CHANDRAUL, employed as Assistant Audit Officer, in the office of the Accountant General (Audit) II U.P. Allahabad, residing at 791/1C/4H Bhagambari Gaddi, Allahabad, have changed my name and shall hereafter be known as MAHESH PRASAD SINGH.

It is certified that I have complied with other legal requirements in this connection.

MAHESH PRASAD CHANDRAUL
[Signature (in existing old name)]

I, hitherto known as NB SUB RAMESH S/o KHAN-DAPPA, employed as Jr. Commissioned Officer (Naib Subedar) in the 16 Engineer Stores Platoon, C/o 56 APO, residing at 175/2, Chillian Walle Lane, JCOs Quarter, Ambala Cantt, have changed my name and shall hereafter be known as RAMESH KHANDAPPA POLICE PATIL.

It is certified that I have complied with other legal requirements in this connection.

NB SUB RAMESH
[Signature (in existing old name)]

I, hitherto known as ASHOK DAS S/o Late SHYAMA-PADA DAS, employed as Labour "A", T. No. 757/F.S. P/No. 001191 Section Fuze Section in the Gun & Shell Factory, Cossipore, Calcutta-2, residing at 249, B. T. Road, Baranagar, Calcutta-700 036, have changed my name and shall hereafter be known as ASHOK BERA.

It is certified that I have complied with other legal requirements in this connection.

ASHOK DAS
[Signature (in existing old name)]

I, hitherto known as BHUBAN S/o Late SRIMANTA PAUL, employed as Fitter Gr. II under CF/Spl/S.E. Rly./KGP-721301, residing at Nowsuiting, P.O. Saluya, P. S. Kharagpur (local), Dist. Midnapore (W.B.), have changed my name and shall hereafter be known as BHUBAN PAUL S/o Late SRIMANTA PAUL.

It is certified that I have complied with other legal requirements in this connection.

BHUBAN
[Signature (in existing old name)]

I, hitherto known as ALLUMALLA VENKATA RAMANA RAO S/o ALLUMALLA PURUSOTHAM ALIAS SANKU SEEMA RAO, employed as Diesel Shunter working under Dy. C.M.E. (Diesel) Workshop in the S.E. Rly./Kharagpur, residing at Block No. 3R/4 Unit-5 old settlement P.O. Kharagpur Dist. Midnapore, have changed my name and shall hereafter be known as SANKU VENKATA RAMANA RAO.

It is certified that I have complied with other legal requirements in this connection.

ALLUMALLA VENKATA RAMANA RAO
[Signature (in existing old name)]

I, hitherto known as TILAK RAM S/o Late SAHAB RAM, residing at 1/3821, Bhagwan Pur Khara, Shahadara, Delhi-32, have changed my name and shall hereafter be known as TILAK RAJ.

It is certified that I have complied with other legal requirements in this connection.

TILAK RAM
[Signature (in existing old name)]

I, hitherto known as M. PON DEVRA S/o P. MUTHIAH, employed as Inspector of Central Excise and Customs in the Office of the Assistant Commissioner of Central Excise, Central Excise I Division, Trichy, residing at No. 16/10, 1st Floor, Gandhi Road, Sri Rangam, Trichy, Tamilnadu-620006, have changed my name and shall hereafter be known as M. PON RAJESH.

It is certified that I have complied with other legal requirements in this connection.

M. PON DEVARAJ
[Signature (in existing old name)]

I, hitherto known as PARSU YEDBA BHOSALE S/o Shri YEDBA LAKSHUMAN BHOSALE, employed as Scientific Asstt/C in BARC Trombay, Mumbai-400 085, residing at Hemawati B-8, New Mandala Trombay, Mumbai-400 094, have changed my name and shall hereafter be known as PARSHURAM YEDBA BHOSALE.

It is certified that I have complied with other legal requirements in this connection.

PARSU YEDBA BHOSALE
Signature (In existing old name)

I, hitherto known as GURVINDER KUMAR S/o Shri MANOHAR LAL NARANG, residing at 25, Chitra Vihar, Delhi-110 092, have changed my name and shall hereafter be known as ROHIT NARANG.

It is certified that I have complied with other legal requirements in this connection.

GURVINDER KUMAR
Signature (in existing old name)

I, hitherto known as V. CHANDRA PANDIAN S/o Sri C. VALLIMUTHULINGAM, employed as Deputy Conservator of Forests in the Union Territory of Lakshadweep, residing at Dak-Bungla Extension, post office street, Kavaratti, Union Territory of Lakshadweep, have changed my name and shall hereafter be known as C.V.C. PANDIEN.

It is certified that I have complied with other legal requirements in this connection.

V. CHANDRA PANDIAN
Signature (in existing old name)

I, hitherto known as MURARI LAL S/o Shri ANGAD RAM, residing at D-526, Madi Pur Colony, Rohtak Road, New Delhi-63, have changed my name and shall hereafter be known as MUKESH KUMAR.

It is certified that I have complied with other legal requirements in this connection.

MURARI LAL
Signature (in existing old name)

वि उत्तर प्रदेश स्टाक एक्सचेंज एसोसिएशन लिमिटेड, 14/113, सिविल लाइन्स, कानपुर-208001 के आर्टिकल्स आफ एसोसिएशन में संशोधन (30-1-1999 को हुई असाधारण आम बैठक में किया गया), जो कि भारतीय प्रतिभूति एवं विनियम बोर्ड (सेबी) द्वारा उनके पत्रांक एस० एम० डी० आर० पी०/एस० ई० ए० एवं आई० डी०-आई०/यू० पी० एस० ई०/8064/99 दिनांक 10 मार्च 1999 के जरिए अनुमोदित भी है।

18. (ए) (आई)—बहुपक्षीय सदस्यता

योग्य व्यक्तियों, पार्टनरशिप फर्मों एवं कारपोरेट संस्थाएं जो इस स्टॉक एक्सचेंज में न्यूनतम 5 वर्ष तक क्रियाशील रही हो, उन्हें अन्य मान्यताप्राप्त स्टॉक एक्सचेंजों में बहुपक्षीय सदस्यता के लिये अनुमोदित किया जायेगा।

शर्त यह है कि अगर किसी मामले में कारपोरेट सदस्य अथवा पार्टनरशिप फर्म किसी अन्य मान्यताप्राप्त स्टॉक एक्सचेंज का सदस्य हो तो कारपोरेट सदस्य के पूर्णकालिक निदेशकों में से कम से कम एक अथवा फर्म के सामान्यतया कानपुर या लखनऊ का निवासी होना चाहिए या किसी अन्य शहर का जहां यू० पी० एस० ई० का ऐडिशनल ट्रेडिंग फ्लोर (ए० टी० एफ०) कार्यरत है।

18 (ए) (2) बहुपक्षीय सदस्यों के डिफाल्ट

ऐसे मामले में, जहां सदस्य अन्य मान्यताप्राप्त स्टॉक एक्सचेंज या स्टॉक एक्सचेंजों की बहुपक्षीय सदस्यता रखते हों, यदि किसी एक स्टॉक एक्सचेंज में डिफाल्टर घोषित किये गये हों तो ऐसे सदस्य स्वयंसेवक इस एक्सचेंज में डिफाल्टर घोषित हो जायेंगे। उक्त डिफाल्टर सदस्य की संपत्ति का सख्ती से विभाजन संबंधित स्टॉक एक्सचेंजों के क्लेम्स से स्टॉक एक्सचेंज वाइज होगा। अगर डिफाल्टर सदस्य की संपत्ति एक स्टॉक एक्सचेंज से समायोजित होने के बाद शेष बचती है तो उक्त बची हुई संपत्ति इस स्टॉक एक्सचेंज या अन्य स्टॉक एक्सचेंज (एक्सचेंजों) का समायोजित होगी।

109 (ए) गवर्निंग बोर्ड के सदस्यों की पात्रता का मापदण्ड

एक्सचेंज की प्रत्येक साधारण सभा में, निदेशक मंडल के चुने हुए सदस्यों का एक तिहाई, जो चक्रानुक्रम से रिटायर होने योग्य हो या उनकी संख्या 3 या 3 के गुणक से अधिक नहीं हो तो एक तिहाई के समीपवर्ती आफिस में रिटायर होंगे, लेकिन रिटायर हुए व्यक्ति पुनः निर्वाचन के योग्य होंगे।

शर्त यह है कि निदेशक मंडल में दो टर्म लगातार निर्वाचित सदस्य अगले दो वर्षों की कालावधि तक पुनः निर्वाचन के योग्य नहीं होंगे।

पुनः यह भी शर्त है कि एक कारपोरेट सदस्य जो एक्सचेंज के गवर्निंग बोर्ड का, अपने प्रतिनिधियों द्वारा लगातार दो बार क्रमागत रूप से सदस्य रहा हो वह आगे के दो वर्षों के लिए गवर्निंग बोर्ड के वास्ते पुनः निर्वाचन के योग्य नहीं होगा।

इस सब-क्लोज के उद्देश्य के लिये वैयक्तिक सदस्यता को कारपोरेट सदस्यता में परिवर्तन के मामले में, परिवर्तन के पूर्व निदेशक की अवधि को भी शामिल किया जाएगा।

109 (बी) गवर्निंग बोर्ड के सदस्यों की अर्हता का मापदण्ड

प्रत्येक सालाना आम सभा में निदेशक मंडल के क्रमानुसार उन सदस्यों का रिटायरमेंट होगा, जो अपने अन्तिम नियुक्ति से पूर्व में सबसे अधिक समय से आफिस में चले आ रहे हों। लेकिन ऐसे व्यक्ति जो एक ही दिन निदेशक नियुक्त हुए हों, उनकी रिटायरमेंट लाट द्वारा तय की जायेगी बशर्ते उनके बीच कोई प्रसविदा अथवा किसी दोष के तहत रिटायरमेंट न हो।

शर्त यह है कि एक्सचेंज की प्रथम दो साधारण सभाओं में, इस आर्टिकल के बढ़ने के बाद, प्रत्येक साल एक तिहाई उन निदेशकों का रिटायरमेंट होगा जो कि निदेशक मंडल में एक जाने आने में लगातार माल दरसाल निर्वाचित होते आ रहे हों।

बहरहाल, उन लोगों के दरमियान, जो निदेशक मंडल में समान वर्षों से हों और रिटायर होने वाले हों, तो उन पर विचार लाट द्वारा होगा, यदि किसी दोष के तहत अथवा उनके बीच कोई संविदा न हो।

110. गवर्निंग बोर्ड की सदस्यता के मामले अर्हता मापदण्ड
110(ए) व्यक्तिगत सदस्य के मामले में—

कोई सदस्य गवर्निंग बोर्ड के सदस्य के रूप में निर्वाचन योग्य नहीं होगा अगर—

(1) वह भारत का नागरिक नहीं है।

(2) जो चुनाव के उम्मीदवार द्वारा प्रस्ताव प्रस्तुत होने के अन्तिम दिन तक 3 वर्ष से कम सदस्य रहा हो।

(3) जो किसी भी समय डिफाल्टर घोषित किया गया हो अथवा आमतौर पर अपनी जिम्मेदारी निभाने में असमर्थ रहा हो अथवा अपने ऋणदाताओं से दुराभिमन्धि कर ली हो।

110(बी) कारपोरेट सदस्य के मामले में :—

पूर्णकालिक निर्वाचित निदेशक, गवर्निंग बोर्ड की सदस्यता के लिये निर्वाचन के योग्य नहीं होंगे, अगर—

(1) वह भारत का नागरिक नहीं है।

(क) जो निर्वाचन के उम्मीदवार द्वारा प्रस्ताव प्रस्तुत होने के अन्तिम दिन तक 3 साल से कम सदस्य रहा हो।

(3) जो किसी भी समय डिफाल्टर घोषित किया गया हो अथवा सामान्यतया अपना उत्तरदायित्व निभाने में असमर्थ रहा हो अथवा अपने ऋणदाताओं से दुराभिमन्धि/साजिस कर रखी हो।

(4) ऐसे मामले में, जहाँ एक संचालक कम्पनी के बोर्ड आफ डायरेक्टरस से त्यागपत्र दे दिया हो अथवा ऐसी कम्पनी के बोर्ड के पद से हटा दिया गया हो तथा ऐसी कम्पनी अथवा ऐसे संचालक का पद और ऐसे गवर्निंग बोर्ड के डायरेक्टर का पद रिक्त हो तो रिक्तता एक्सचेंज के नियमानुसार भरी जायेगी और कारपोरेट सदस्य की गवर्निंग बोर्ड की सदस्यता के लिये पुनर्स्थापित होने का हक नहीं होगा।

(5) किसी व्यक्ति विशेष सदस्य या पार्टनरशिप फर्म को कारपोरेट सदस्य में परिवर्तित करने के मामले में, उस व्यक्ति विशेष का पूर्व अनुभव के आधार पर जो फर्म में साझेदार के रूप में अथवा कारपोरेट सदस्य के पूर्णकालिक संचालक के रूप में रहा हो, उसको गवर्निंग बोर्ड का सदस्य बनाने की पात्रता की गणना करने समय ध्यान में रखा जायेगा।

110(सी) ऐसे मामले में, जब सदस्य के ट्रेडिंग अधिकार अथवा स्टॉक ब्रोकर के रूप में सदस्य के पंजीकरण की अनुशासनात्मक कार्यवाही के तहत स्टॉक एक्सचेंज अथवा भारतीय प्रतिभूति एवं विनियम बोर्ड (सेबी) द्वारा निलम्बित कर दिया गया हो तो ऐसा सदस्य गवर्निंग बोर्ड में बने रहने योग्य नहीं रहेगा और न ही वह ट्रेडिंग एवं पंजीकरण के निलम्बन की अवधि समाप्त होने के दिनांक से अगले दो साल की अवधि तक गवर्निंग बोर्ड में निर्वाचन योग्य रहेगा।

110(डी) वह सदस्य जिसका पंजीकरण निरस्त हो गया हो, गवर्निंग बोर्ड में यथापूर्व बने रहने की स्वीकृति नहीं होगी और न ही गवर्निंग बोर्ड में निर्वाचित होने योग्य रहेगा।

110(ई) वे सदस्य, जो स्पेशल कोर्सेस (ट्रायल आफ न्यूसीज रिलेटिंग टू दी ट्रांजेक्शन इन सिक्योरिटीज) एक्ट, 1992 के अनुसार घोषित श्रेणी के अन्तर्गत आते हैं वे इस एक्ट के तहत डिनोटिफाइड की तारीख से 2 साल के लिये गवर्निंग बोर्ड में बने रहने के पात्र नहीं होंगे।

110(ए) बहुपक्षीय सदस्यता

बहुपक्षीय सदस्यता के मामले में :—

(1) कोई व्यक्ति जो किसी अन्य मान्यताप्राप्त स्टॉक एक्सचेंज की गवर्निंग बाडी में है जो इस स्टॉक एक्सचेंज के गवर्निंग बोर्ड में सदस्य बनने की स्वीकृति नहीं दी जाएगी।

(2) कारपोरेट मेंबर का केवल एक पूर्णकालिक डायरेक्टर इस स्टॉक एक्सचेंज के गवर्निंग बोर्ड का सदस्य स्वीकार किया जा सकता है बशर्ते कि उक्त डायरेक्टर किसी अन्य मान्यता प्राप्त स्टॉक एक्सचेंज के गवर्निंग बोर्ड में न हो।

122(सी) वैधानिक समितियों में सार्वजनिक प्रतिनिधियों की नियुक्ति

एक्सचेंज के वैधानिक समितियों के 40 प्रतिशत सदस्यगण, जो समय-समय पर नियुक्त किये गये हों, एक्सचेंज के सदस्यों में से निदेशक मंडल द्वारा नामित होंगे और शेष 60 प्रतिशत लोग स्टॉक एक्सचेंज के सदस्यों के अतिरिक्त होंगे, जिन्हें भारतीय प्रतिभूति एवं विनियम बोर्ड (सेबी) के पूर्व अनुमोदन से नामित किया जायेगा।

बहरहाल भारतीय प्रतिभूति एवं विनियम बोर्ड (सेबी) को उस व्यक्ति की भी नामित करने का अधिकार होगा, जिसका नाम एक्सचेंज के निदेशक मंडल द्वारा अग्रसारित न किया गया हो।

वास्ते दि उतर प्रदेश स्टॉक एक्सचेंज एसो० लि०

डा० जे० एन० गुप्ता

कार्यकारी निदेशक

AMENDMENTS TO THE ARTICLES OF ASSOCIATION (MADE IN THE EGM HELD ON 30-01-1999) OF THE UTTAR PRADESH STOCK EXCHANGE ASSOCIATION LIMITED, 14/113, CIVIL LINES, KANPUR-208 001 AND ALSO APPROVED BY SEBI VIDE THEIR LETTER NO. SMDRP/SFA&ID-1/UPSE/8064/99 DATED MARCH 10, 1999.

18(A)(i) Multiple Membership

Multiple membership in other recognised Stock Exchange(s) shall be permitted to eligible individuals, partnership firms and corporate bodies who have operated their membership of this Exchange for a minimum period of 5 years before seeking the membership of the other Stock Exchanges.

Provided that in case a corporate member, or a partnership firm is a member of another recognised Stock Exchange, at least one of the Whole time Directors of the corporate member or one of the partners of the firm as the case may be, shall normally be a resident of Kanpur or Lucknow or any other city where the UPSE Additional Trading Floor (ATF) is operative.

18(A)(ii) Default of Multiple Members

In case a member, holding multiple membership of other recognised Stock Exchange(s) has been declared a defaulter at any one of the recognised Stock Exchange(s), such a member, shall automatically be declared a defaulter, in this Exchange. The appointment of the assets of the said defaulter member against the claims of the concerned Stock Exchanges shall be done strictly Stock Exchangewise. If there is a surplus of assets of the defaulter member after the apportionment at one Stock Exchange, the said surplus may be adjusted against the claim of this Stock Exchange or other Stock Exchange(s).

109(a) Eligibility Criterion for members of Governing Board

At every Annual General Meeting of the Exchange, one third of such of the members elected on the Board of Directors, as are liable to retire by rotation, or if their number is not three or a multiple of three, then the number nearest to one third, shall retire from office, but but the retiring members shall be eligible for re-election.

Provided that a person elected as a member for two consecutive terms, on the Board of Directors, shall not be eligible for re-election, for a further period of two years.

Provided further that a corporate member who has been a member of the Governing Board of the Exchange, through its representative, for two consecutive terms, shall not be eligible for re-election, on the Governing Board for a further period of two years.

For the purpose of this sub clause, in case of the conversion of individual membership into corporate membership, the tenure as a Director prior to conversion will also be counted.

109(b) Eligibility Criterion for members of Governing Board

The members of the Board of Directors to retire by rotation at every Annual General Meeting, shall be those who have been longest in office since their last appointment, but as between persons who became Directors on the same day, those who are to retire shall, in default of, and subject to any agreement among themselves, be determined by lot.

Provided that at the first two Annual General Meetings of the Exchange to be held after addition of this article, one

third of the Directors to retire each year shall be those who have been elected on the Board of Directors for the longest number of years, from year to year consecutively.

However, as between persons who are on the Board of Directors for an equal number of years, those who are to retire shall, in default of and subject to any agreement among themselves, be determined by lot.

110 Eligibility Criterion for members of Governing Board 110(a) "In case of an individual member

No member shall be eligible to be elected as a member of the Governing Board if

(i) He is not a citizen of India;

(ii) He has been a member with less than three years standing on the last day for the submission of proposals by candidates for election;

(iii) He has at any time been declared a defaulter or failed to meet his liabilities in the ordinary course or compounded with his creditors.

110(b) In case of a corporate member :

"One of the Whole time designated Directors shall not be eligible to be elected, as a member, of the Governing Board, if

(i) He is not a citizen of India;

(ii) He has been a member for less than three years standing on the last day for the submission of the proposals by candidates for election;

(iii) He has at any time been declared a defaulter or failed to meet his liabilities in the ordinary course or compounded with his creditors.

(iv) In case the Director resigns/retire from the Board of the member company, or is removed from the Board of such company, the post of such a company, and the post of such Director on the Governing Board falls vacant, the vacant will be filled as per the rules of the Exchange and such a corporate member will not have the right to replace the member of the Governing Board.

(v) In case of the conversion of the individual member/partnership firm into a corporate member, then the previous experience of such an individual member who is a Whole time Director of the corporate member or a partner, of the firm would be considered for meeting the eligibility norms to become the member of the Governing Board.

110(c). In the event the trading rights of the member or the registration of the member as a Stock broker is suspended by way of disciplinary action, by the Stock Exchange or SEBI, the member shall not be eligible to continue on the Governing Board and shall also not be eligible to be elected to the Governing Board for a period of two years from the date of expiry of the suspension of trading or the suspension of registration.

110(d) A member whose registration has been cancelled shall not be allowed to continue on the Governing Board and shall not be eligible to be elected to the Governing Board.

110 (e) The members falling in the category of notified persons as per the Special Courts (Trial of Offences relating to the Transaction in Securities), Act, 1992 shall not be allowed to continue on the Governing Board for a period of two years from the date the person is denotified under the Special Courts (Trial of Offences relating to the Transaction in Securities), Act, 1992.

110A Multiple Membership

"In case of Multiple Membership :

(i) An individual member who is on the Governing Body of any other recognised Stock Exchange shall not be allowed to become a member of the Governing Board of this Stock Exchange.

(ii) Only one of the Whole-time (designated) Director of a corporate member can be allowed to be a member of the Governing Board of this Stock Exchange, provided that the said Director is not on the Governing Board of any other recognised Stock Exchange.

122(c). Appointment of Public Representatives on Statutory Committees.

40% of the members on the statutory committees of the Exchange, that may be constituted from time to time, shall be nominated by the Board of Directors, from amongst the members of the Exchange and the balance 60% shall be persons other than the members of the Stock Exchange, nominated with the prior approval of the Securities and Exchange Board of India.

However, the Securities and Exchange Board of India shall have the right to nominate even the persons whose names may not have been forwarded by the Board of Directors.

for THE U.P. STOCK EXCHANGE ASSN. LTD.

DR. J. N. GUPTA
Executive Director.

